

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

FIRE & POLICE PENSION ASSOCIATION  
OF COLORADO, individually and on behalf  
of all those similarly situated,

Plaintiff,

v.

BANK OF MONTREAL, BMO FINANCIAL  
CORP., BMO NESBITT BURNS INC., BMO  
CAPITAL MARKETS CORP., BANK OF  
AMERICA MERRILL LYNCH, MERRILL  
LYNCH CANADA INC., DEUTSCHE  
BANK AG, DEUTSCHE BANK  
SECURITIES INC., DEUTSCHE BANK  
SECURITIES LIMITED, THE BANK OF  
NOVA SCOTIA, SCOTIA CAPITAL (USA)  
INC., SCOTIA CAPITAL INC., CANADIAN  
IMPERIAL BANK OF COMMERCE, CIBC  
WORLD MARKETS CORP., CIBC WORLD  
MARKETS, INC., HSBC HOLDINGS PLC,  
HSBC BANK PLC, HSBC NORTH  
AMERICA HOLDINGS INC., HSBC  
SECURITIES (USA) INC., HSBC BANK  
CANADA, NATIONAL BANK OF  
CANADA, NATIONAL BANK  
FINANCIAL INC., NATIONAL BANK OF  
CANADA FINANCIAL INC., ROYAL  
BANK OF CANADA, RBC DOMINION  
SECURITIES INC., RBC CAPITAL  
MARKETS, LLC, TORONTO-DOMINION  
BANK, TD SECURITIES INC., TD  
SECURITIES (USA) LLC,

Defendants.

18 Civ. 00342 (AT)(SN)

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, and to enable Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, Defendant Royal Bank of Canada certifies that Defendant RBC Capital Markets, LLC is an indirect, wholly owned subsidiary of Royal Bank of Canada, which is publicly traded on the New York Stock Exchange and the Toronto Stock Exchange.

Dated: February 13, 2018  
New York, New York

LATHAM & WATKINS LLP

By: /s/ Richard D. Owens  
Richard D. Owens  
885 Third Avenue  
New York, New York, 10022  
Telephone: (212)-906-1200  
Facsimile: (212)-751-4864  
Email: richard.owens@lw.com

*Attorneys for Defendants  
Royal Bank of Canada, RBC  
Dominion Securities Inc., and RBC  
Capital Markets LLC*